



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steven T. Kirsch

PATENT APPLICATION

Serial No.: 10/796,809

Group Art Unit: 2142

Filed: March 8, 2004

Examiner:

For: METHOD AND SYSTEM FOR CATEGORIZING AND PROCESSING
E-MAILS BASED UPON INFORMATION IN THE MESSAGE
HEADER AND SMTP SESSION

Information Disclosure Statement

Hon. Commissioner for Patents
Alexandria, VA 22313

Sir:

The following information is submitted in compliance with Applicant's duty of disclosure under 37 CFR § 1.56. A copy of each reference is enclosed.

Other References

Website printout: MailFrontier, "Matador Overview", 2003, 1 page.

Website printout: Cloudmark, "Spamnet Authority", 2003, 1 page.

Website printout: MailShell, "SpamCatcher is the Easiest Solution to Spam", 2003, 2 pages.

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Asst. Commissioner for Patents, Alexandria, VA 22313

Signed: Sally Azevedo
Typed Name: Sally Azevedo

Date: September 7, 2004

Respectfully submitted,

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| FORM PTO-1449 |  | Atty. Docket No. PRO-026 | Serial No. 10/796,809 |
| LIST OF PRIOR ART CITED BY APPLICANT | | Applicant: Steven T. Kirsch | |
| | | Filing Date: March 8, 2004 | Group: 2142 |

U.S. PATENT DOCUMENTS

| Examiner Initial* | Document Number | Grant Date | Name | Class | Sub Class | Filing Date |
|----------------------|--------------------|---------------|------|-------|--------------|----------------|
| | AA | | | | | |
| | AB | | | | | |
| | AC | | | | | |
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FOREIGN PATENT DOCUMENTS

| Examiner Initial* | Document Number | Grant Date | Country | Class | Sub Class | Translation Yes No |
|----------------------|--------------------|---------------|---------|-------|--------------|-----------------------|
| | AK | | | | | |
| | AL | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|------|----|--|
| /HH/ | AM | Website printout: MailFrontier, "Matador Overview", 2003, 1 page. |
| /HH/ | AN | Website printout: Cloudmark, "Spamnet Authority", 2003, 1 page. |
| /HH/ | AO | Website printout: MailShell, "SpamCatcher is the Easiest Solution to Spam", 2003, 2 pages. |

EXAMINER: /Hieu Hoang/ (11/09/2007)

DATE CONSIDERED: 11/09/2007

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.